



Atty. Docket No. 005306.P103

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
S. Garg, et al. ) Examiner: Unassigned  
Application No. 10/750,046 ) Art Unit: Unassigned  
Filed: December 30, 2003 )  
For: MODELING OF OPPORTUNITY )  
DATA )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF DECLARTIONS

Dear Sir:

Please note that Applicant has not received the Notice to File Missing parts, however please find enclosed:

- (1) two duly executed Declaration and Power of Attorneys with respect to the above-referenced patent application; and
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. §1.16(e).

FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on: March 23, 2004

Date of Deposit

Michelle Begay

Name of Person Mailing Correspondence

Michelle Begay  
Signature

March 23, 2004

Date

03/29/2004 SFELEKE1 00000112 10750046

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130.00 0P

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: March 23, 2004



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Marina Portnova  
Reg. No. 45,750

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12400 Wilshire Boulevard,  
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Los Angeles, California 90025  
(408) 720-8300



-1-

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

<u>60/457,795</u>	<u>03/25/2003</u>
(Application Number)	(Filing Date – MM/DD/YYYY)

<u>(Application Number)</u>	<u>(Filing Date – MM/DD/YYYY)</u>
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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>(Application Number)</u>	<u>(Filing Date – MM/DD/YYYY)</u>	<u>(Status -- patented, pending, abandoned)</u>
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<u>(Application Number)</u>	<u>(Filing Date – MM/DD/YYYY)</u>	<u>(Status -- patented, pending, abandoned)</u>
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I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Marina Portnova, BLAKELY, SOKOLOFF, TAYLOR &  
(Name of Attorney or Agent)

ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and  
direct telephone calls to Marina Portnova, (408) 720-8300.  
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Shailendra Garg  
Inventor's Signature Shailendra Garg Date 02/24/2004  
Residence Sunnyvale, California Citizenship India  
(City, State, Country) (Country)  
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Sunnyvale, CA 94086

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Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
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Full Name of Fourth/Joint Inventor Maria Theresa Barnes Leon  
Inventor's Signature Ma. Theresa B. Leon Date 2/24/04  
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#### APPENDIX A

Ramin Aghevli, Reg. No. 43,462; Michael A. Bernadicou, Reg. No. 35,934; R. Alan Burnett, Reg. No. 46,149; Sanjeet Dutta, Reg. No. 46,145; Steven R. Gilliam, Reg. No. 51,734; James Y. Go, Reg. No. 40,621; Sheryl Sue Holloway, Reg. No. 37,850; Gordon R. Lindeen III, Reg. No. 33,192; Lawrence E. Lycke, Reg. No. 38,540; Michael J. Mallie, Reg. No. 36,591; James C. Scheller, Reg. No. 31,195; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; Tom Van Zandt, Reg. No. 43,219; and John Patrick Ward, Reg. No. 40,216; my patent attorneys, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

## APPENDIX B

### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

(e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



Attorney's Docket No.: 005306.P103

Patent

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MODELING OF OPPORTUNITY DATA

the specification of which

X is attached hereto,  
was filed on (MM/DD/YYYY) 12/30/2003 as  
United States Application Number 10/750,046  
or PCT International Application Number \_\_\_\_\_  
and was amended on (MM/DD/YYYY) \_\_\_\_\_  
(If applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:



Prior Foreign Application(s)

Priority  
Claimed

<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Foreign Filing Date - MM/DD/YYYY)</u>	<u>Yes</u>	<u>No</u>
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I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

60/457 795 03/25/2003  
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Full Name of Sole/First Inventor Shallendra Garg

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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Full Name of Second/Joint Inventor Gurkan Salk

Inventor's Signature [Signature] Date March 16, 2004

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Full Name of Third/Joint Inventor Ramaswamy Sundararajan

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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Full Name of Fourth/Joint Inventor Maria Theresa Barnes Leon

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Fremont, California (City, State, Country) Citizenship Philippines (Country)

Mailing Address 288 Rivercreek Drive  
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- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

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